STATE REVOLVING LOAN FUND (SRF) PROGRAM

R.I.D.E.M., Office of Water Resources
Certificate of Approval Process

SRF Loan Application Review Checklist

Applicant _______________________________  Project Name _______________________________
Contact Person ___________________________  Telephone _____________________________
A/E Contact _______________________________  Telephone _____________________________

A. Procurement and Scope of Work (All Projects)

1. Certification that the procurement meets all state and local requirements

2. A scope of work for A/E services sufficient to result in an approved project based on official DEM policy/criteria/checklists.

B. Programmatic (All Projects)

1. Certification of intent to comply with all applicable provisions of federal and/or state laws (App. 3 and 4).

2. Certified copy of the resolution of the governing body of the local governmental unit directing the CEO to submit an application for an SRF loan.

3. A summary sheet listing total project costs

4. A copy of the project schedule showing the date of completion for significant milestones

5. A calculation or estimate of the useful life of the project.

C. Environmental Review Process (“Treatment Works” Projects only)

Facility Plan Loan - certifications that: an Environmental Assessment and, if necessary, an Environmental Impact Statement on the Facility Plan will be performed; the facility planning assumptions and forecasts will be consistent with the present and forecasted elements of the local Comprehensive Plan; and the DEM-approved Facility Plan is intended to be adopted as detailed sub-elements of the Services and Utilities and Implementation elements of the local Comprehensive Plan.

Design and Construction Loans: documentation that the project is detailed in a DEM-approved Facility Plan and documentation the project is addressed by a DEM-approved CE or a DEM-issued Finding of No Significant Impact or Record of Decision, including specified mitigation measures for the project.
STATE REVOLVING LOAN FUND (SRF) PROGRAM
R.I.D.E.M., Office of Water Resources
Certificate of Approval Process

Requirements for Applicants for All SRF Loans

As the Chief Executive Officer of the ____________________________, I hereby certify this local governmental unit will comply with the applicable statutory and/or regulatory requirements of the sections of the Rhode Island General Laws of 1956, as amended, cited below as a condition of award of a loan from the Rhode Island State Revolving Loan Fund (SRF) Program jointly administered by the Rhode Island Infrastructure Bank and the Rhode Island Department of Environmental Management. This certification is executed on the __________ day of ________________, 20__.

______________________, CEO, of ________________________________

1. § 2-1, Fresh Water Wetlands
2. § 23-23, Air Pollution [R.I. Clean Air Act]
3. § 36-14, Code of Ethics
4. § 37-12, Contractor's Bonds
5. § 37-13, Labor and Payment of Debts by Contractors
6. § 37-14.1, Minority Business Enterprise
7. § 37-16, Public Works Arbitration Act
8. § 38-1, Custody and Protection [of public records]
9. § 38-2, Access to Public Records
10. § 38-3, Public Records Administration
11. § 42-10.1, Public Finance Management Board (debt issuance)
12. § 42-35, Administrative Procedures
13. § 42-45, Historical Preservation Commission (planning coordination)
14. § 42-46, Open Meetings
15. § 42-82, Farmland Preservation Act (eminent domain restrictions)
16. § 42-110, Licensing of Chemical Purchasing (metal finishing pretreatment)
17. § 45-14, Sewage Charges (user charge restrictions)
18. § 45-22.2, Comprehensive Planning and Land Use Regulation Act (planning consistency)
19. § 45-24.5, Wastewater Management Districts (septage management)
20. § 46-12, Water Pollution
21. § 46-12.1, Underground Storage Tanks (fuel tanks at WWTFs)
22. § 46-13, Public Drinking Water Supply (cross-connections, break tanks at WWTFs)
23. § 46-23, Coastal Resources Management Council
STATE REVOLVING LOAN FUND (SRF) PROGRAM

R.I.D.E.M., Office of Water Resources
Certificate of Approval Process

Requirements for Applicants for SRF Loans with Federal Funds

As the Chief Executive Officer of the ____________________________, I hereby certify this local governmental unit will comply with the applicable statutory requirements of the federal laws cited below as a condition of award of a loan from the Rhode Island State Revolving Loan Fund (SRF) Program jointly administered by the Rhode Island Infrastructure Bank and the Rhode Island Department of Environmental Management. This certification is executed on the _______ day of ____________, 20______.

______________________________, CEO, of ________________

2. Clean Air Act, 42 U.S.C. 7506(c)
4. Coastal Zone Management Act Pub. L. 92-583, as amended
6. Federal Executive Order 11988, as amended by Executive Order 12148, Floodplain Management
7. Federal Executive Order 11990, Protection of Wetlands
9. Fish and Wildlife Coordination Act, P.L. 85-624, as amended
11. Safe Drinking Water Act, P.L. 92-523, as amended
12. Wild and Scenic Rivers Act, P.L. 90-542, as amended
14. Section 306 of the Clean Air Act and Section 508 of the Clean Water Act, Including Federal Executive Order 11738, Administration of the Clean Air Act and the Federal Water Pollution Control Act with Respect to Federal Contracts, Grants, or Loans
15. Age Discrimination Act, P.L. 94-135, as amended
17. Section 13 of P.L. 92-500; prohibition against sex discrimination under the federal Clean Water Act
18. Federal Executive Order 11246, Equal Employment Opportunity
19. Federal Executive Orders 11625, 12138, and 12432 Women's and Minority Business Enterprise
22. Executive Order 12549, Debarment and Suspension
24. Executive Order 13202, Preservation of Open Competition and Government Neutrality Towards Contractor's Labor Relations …