



Rhode Island Department of Environmental Management

Office of Waste Management

Brownfields Site Preparation and Remediation Funding Application

Instructions: Complete form as thoroughly as possible. Attach additional sheets and backup documentation as necessary. Applications will be prioritized based on point score assigned during RI DEM review. Please fill in Section I. General Information then skip to appropriate Section for type of funding (Brownfield Bond – Section A, BCRLF – Section B, Targeted Brownfields Assessment – Section C) being applied for. Please submit signed hard copy in person or by mail to the address indicated at end of form as well as digitally by email.

Please indicate which funding is being requested. Grant requests exceeding \$250,000 may be referred to the Rhode Island Infrastructure Bank for partial funding through the Brownfields Cleanup Revolving Loan Fund.

- Brownfields Bond Fund Reimbursement Grant (Brownfields Grant) [State]
- Brownfields Cleanup Revolving Loan Fund (BCRLF) [RI Infrastructure Bank]
- Targeted Brownfields Assessment Grant (TBA) [Federal]

I. General Information

Applicant Organization Name*:

Head of Applicant Organization:

Contact Person (If Different from Above):

Contact Title:

Phone:

Email:

Address:

City:

State:

ZIP:

Organization Type:

Corporation

Partnership

Proprietorship

Non-Profit

Trust

Municipality

Tribe

Other _____

Site/Project Name:

Site Address:

Assessor's Plat and Lot:

City:

State:

ZIP:

Current Site Ownership:

Name:

Address:

City:

State:

ZIP:

Contact Person:

Phone:

Email:

II. Site Details:

Land/Building:

Acreage:

Site Zoning:

Enterprise Zone:

Condition of Property (buildings*, physical appearance, any other pertinent information):

*If buildings present:

Purchase/Building Price: \$

Building Description:

Site Use:

Current And Prior Site Uses (Type Of Manufacturing, Etc.)	Approximate Dates

Available utilities:

- Sewer Public Water Storm Sewer Electricity
 Natural Gas Telephone Other _____

III. Contamination

Type of Contamination: Petroleum Only Hazardous Substances Co-mingled

To the extent known or anticipated, describe the environmental contamination, its source, and impacts to the site (e.g. soil, groundwater, surface water, building materials).

Describe conclusions of prior site assessment activities (or attach “Conclusion” section of report(s)). If reports are unavailable, identify consultant, client, and approximate date of study. If Site Remediation Site, include Case Number (e.g. 93-001, 2000-084) and/or File Number (e.g. SR-28-0002).

IV. Supplemental Information for Specific Funding Program

- A. Brownfields Bond Fund Reimbursement Grant (Brownfields Grant)
- B. Brownfields Cleanup Revolving Loan Fund (BCRLF)
- C. Targeted Brownfields Assessment Grant (TBA)

Please only complete the section(s) for the funding that you are applying for

A. Brownfields Bond Fund Reimbursement Grant (Brownfields Grant)

Applicant Information:

- 1. Describe any experience in managing similar projects as well as the applicant's capacity to develop and manage this project moving forward.** Please also include relevant information on the applicant's proposed project team.

- 2. Describe in detail the intended redevelopment project.**

- 3. Describe the timeframe for the proposed project, including start dates and anticipated duration.**

- 4. Describe the number of buildings proposed on site, whether they will be newly constructed or rehabilitated buildings listed above. Also describe anticipated building occupants, terms of leases, and any other relevant information.**

- 5. How many jobs will this redevelopment produce?** Include separate counts of temporary and permanent employment (i.e. during and after project completion).

6. If known or applicable, please identify any local officials or neighborhood organizations that have been contacted regarding the proposed redevelopment and any known support or opposition.

7. Please describe any local government financial support or infrastructure improvements for the project.

8. Please describe any other economic or community benefits and/or physical revitalization that the project will promote or encourage.

9. Please provide an estimated payment schedule that includes the anticipated amount of each reimbursement payment with the associated tasks and deliverables listed for each reimbursement request. Please note: There may be only one (1) reimbursement for grant amounts less than \$100,000 and not more than three (3) reimbursement requests for grant amounts greater than \$100,000.

TOTAL PROJECT COSTS:

Land Acquisition	Acres	<input type="text"/>	Cost	<input type="text"/>
Site Assessment Costs (if applicable)				Cost	<input type="text"/>
Estimated Remediation Costs				Cost	<input type="text"/>
Construction of New Building(s)	Sq. Feet	<input type="text"/>	Cost	<input type="text"/>
Rehabilitation of Existing Building(s)	Sq. Feet	<input type="text"/>	Cost	<input type="text"/>

Property Improvements Sq. Feet Cost

Other Costs, including fees, permits, etc. Cost

Total Project Costs

PROJECT FUNDING SOURCES:

Source of Matching Funds

RI Brownfield Redevelopment Bond Funding Requested..... Amount

Brownfield Cleanup RLF Funding Requested..... Amount

Bank Financing/Equity Amount

Other Public Sources..... Amount

List sources:

Total Project Financing

B. Brownfields Cleanup Revolving Loan Fund (BCRLF)

1. Proposal

Amount Requested: \$ _____

Terms Requested:

Purpose and short description of remediation and redevelopment goals:

Collateral Pledged:

2. Supplemental Information

Please attach the following documentation:

- Business experience resume of principals.
- Environmental Assessments (Phase I and II).
- Information regarding environmental compliance history.
- Certification that the Applicant is not currently, nor has been subject to any penalties resulting from environmental compliance at the site.
- Certification that the Applicant is not a generator or transporter of contamination at the site.
- Certification that the Applicant falls under a statutory exemption from liability; or that EPA could use its enforcement discretion and not pursue the party as a responsible party under CERCLA if the Applicant is currently the owner/operator of the site.

3. Sources and Uses of Funds

Total project cost:
Borrower's equity: \$ _____

Other financing: \$ _____

Source: (name/amount)

1.	\$	_____
2.	\$	_____
3.	\$	_____
4.	\$	_____
Brownfields RLF:	\$	_____
TOTAL:		\$ _____

4. Community/Employment Benefit

Community Benefits: (brief description of health risks eliminated, jobs created or retained, economic revitalization, etc.)

Employment Impact:

- a. Total Current Employees in Rhode Island _____
- b. Number of jobs to be retained by project _____
- c. Number of jobs to be created by project _____
 - From unemployed _____
 - From long-term unemployed _____
- d. Job Matrix

	EMPLOYEES		Job Descriptions
	Present	New	
\$ 6.15 - \$ 8.00	_____	_____	_____
\$ 9.00 - \$11.00	_____	_____	_____
\$12.00 - \$14.00	_____	_____	_____
\$14.00 & Over	_____	_____	_____

- Number of jobs to be created within one year: _____
- Number of jobs to be created the following year: _____
- Is training required: _____

5. Additional Site Conditions

Is the Project site properly zoned for the applicant’s proposed use? Yes No

Is any litigation pending or threatened, which would affect the applicant’s ability to complete and operate the Project?

If so, please describe:

6. Remediation Plans (brief summary and cost estimates)

7. Redevelopment Plans (brief description, future uses and cost estimates)

8. Financial Plans (demonstrate the economic viability of the project and sources of repayment)

C. Targeted Brownfields Assessment Grant (TBA)

1. Amount Of Delinquent Property Taxes by Owner (If Any): \$

Assessed Value: \$

Appraised Value: \$

2. *If Non-Profit:

a. Please confirm that your organization is not an Association of Community Organizations for Reform Now (ACORN) affiliate, subsidiary or allied organization:

Confirmed

b. For TBA, please attach a support letter from municipality where property is located:

Attached

3. If property owned by applicant, acquisition by:

Foreclosure Eminent Domain Negotiated purchase from a private individual

Purchase or transfer from another governmental unit Price: \$

Other, If “Other,” explain: Date of Acquisition/Anticipated Acquisition:

4. If property is not owned by applicant, does applicant envision difficulty in obtaining legal permission to enter the property to conduct site assessment activities? Yes No

Explain:

5. Is applicant or any other party under order from EPA or state agency to conduct site assessment and/or cleanup?

Yes (Describe Below)

No

Unknown

6. Briefly describe any historical or current involvement of RI DEM in enforcement and oversight or funding of assessment/cleanup of candidate site. If applicable, please provide the Site Remediation Case Number and/or File Number and the RIDEM project manager. (See Page 18 for assistance in finding this information.)

7. Site Assessment/Remediation Needs:

Describe site assessment and/or remediation activities for which funds are requested as well as estimated costs.

8. Describe the timeframe for the proposed project, including start dates and anticipated duration.

9. Anticipated Future Use (Choose all that apply):

- Residential Industrial Active Recreation
 Passive Recreation Open Space Commercial/Retail

Describe applicants proposed reuse plan:

Describe any financial incentives planned by applicant (tax incentives, etc.) to spur development:

Describe all proposed funding sources and amounts for site cleanup (Note: TBA Program is for site assessment *only*. No cleanup money is being offered as part of this program):

10. How many jobs will this redevelopment produce? Include separate counts of temporary and permanent employment (i.e. during and after project completion).

11. Briefly describe any public interest and/or community involvement in site reuse planning activities to date:

As a result of the Small Business Liability and Brownfields Revitalization Act (Public Law 107-118, 1/11/02), certain changes were made in the Brownfields program. Petroleum, controlled substances & mine scarred lands are now eligible for funding. Additionally, certain sites are no longer eligible for funding and other sites can only be awarded funding after EPA makes a "Property Specific Determination for Funding". The following pages contain a number of questions that need to be answered as part of the evaluation process.

Sites Not Eligible for Funding:

1. Facilities listed (or proposed for listing) on the National Priorities List.

Not Applicable

2. Facilities subject to U.S. EPA unilateral administrative orders, court orders, Administrative Orders on Consent, or judicial consent decree issued to or entered into by parties under CERCLA.

Not Applicable

3. Facilities that are subject to the jurisdiction, custody, or control of the United States Government. (Land held in trust by the United States Government for an Indian tribe *is* eligible).

Not Applicable

Sites Not Eligible for Funding Without A Property Specific Determination:

Please answer the following questions to the best of your knowledge:

1. Is your site/facility subject to an **ongoing** U.S. EPA CERCLA removal action?

Yes No

2. Is your site/facility subject to a unilateral administrative order, court order, administrative order on consent or judicial consent decree or to which a permit has been issued by the United States or an authorized state under the Solid Waste Disposal Act (as amended by the Resource Conservation and Recovery Act (RCRA)), the Federal Water Pollution Control Act (FWPCA), the Toxic Substances Control Act (TSCA), or the Safe Drinking Water Act (SDWA)?

Yes No

3. Is your site/facility subject to U.S. EPA corrective action orders under RCRA (sections 3004(u) or 3008(h)) and to which a corrective action permit or order has been issued or modified to require the implementation of corrective measures?

Yes No

4. Is your site/facility a land disposal unit that has filed a closure notification under subtitle C of RCRA and to which closure requirements have been specified in a closure plan or permit?

Yes No

5. Has your site/facility had a release of polychlorinated biphenyls (PCBs) that is subject to remediation under TSCA?

Yes No

6. Has your site/facility received funding for remediation from the Leaking Underground Storage Tank (LUST) Trust Fund?

Yes No

Note: If you have answered “**Yes**” to any of these questions, you will need to prepare a “property specific determination.” Please contact RIDEM for additional information.

Petroleum Only Sites

Please answer the following questions to the best of your knowledge:

1. Please perform a title search and attach a list of all of the former owners of the site. Attached

2. Did any of the former owners cause/contribute to the contamination at the site? Yes No

Please attach list:

3. Are any of the former owners financially able to conduct a site assessment? Yes No

V. Certifications:

By signing below, the Applicant shall certify the following:

- Applicant for funding must be the current entity that legally controls the brownfield site;
- Applicant is not currently contributing to, or exacerbating, the existing contamination; and
- Applicant is not subject to any continuing enforcement action by the State of Rhode Island or the United States Government.
- Attach a Letter of Good Standing from the Secretary of State's Office for the applicant

The undersigned hereby represents and certifies to the best of his/her knowledge and belief that the information contained in the foregoing Statement and exhibits or attachments hereto is true and complete and accurately describes the proposed project, and agrees to promptly inform RI DEM of any changes in the proposed project which may occur.

Applicant Representative _____ Title _____

Signature _____ Date _____

VI. Additional Submission Information

- For questions regarding Environmental Justice: For help, please see the links to a static map as well as an interactive GIS mapping tool of EJ areas in Rhode Island provided at **the following webpage:**

<http://www.dem.ri.gov/programs/wastemanagement/site-remediation/environmental-justice.php>

- For questions regarding NHPA Compliance please contact the R.I. State Historic Preservation Officer (SHPO).

Mr. Edward F. Sanderson, SHPO

Executive Director
Rhode Island Historical Preservation &
Heritage Commission
Old State House
150 Benefit Street
Providence, RI 02903
Phone: 401-222-4130
Fax: 401-222-2968
E-mail: Edward.Sanderson@preservation.ri.gov

- For questions regarding historical or current involvement of RI DEM in enforcement and oversight or funding of assessment/cleanup of candidate site, information may be obtained at the following webpage or by contacting the RI DEM Office of Customer and Technical Assistance listed below:

Environmental Resource Map (GIS) – Expand the “Regulated Facilities” layer in the menu, and select program(s) of interest, such as “RIDEM Site Investigation & Remediation (2016).”
<http://ridemgis.maps.arcgis.com/apps/webappviewer/index.html?id=87e104c8adb449eb9f905e5f18020de5>

Enviro Site Search – Enter site name or address and program(s) of interest under the “Program” drop down menu, such as “Site Remediation” under “Waste.”
<http://eplover.dem.ri.gov/ploverpublic/search.aspx>

RI DEM Office of Customer and Technical Assistance (OCTA)
235 Promenade Street
Providence, RI 02908-5767
Phone: (401) 222-6822
Fax: (401) 222-3810
Dem.filereview@dem.ri.gov

HOW TO SUBMIT AN APPLICATION

Submit the completed and signed application, along with attachments, In Person or by Mail to:

**RI Department of Environmental Management
Office of Waste Management
235 Promenade Street
Providence, RI 02908**

As well as by Email to:

Office of Waste Management Brownfields Funding Application Center
matthew.destefano@dem.ri.gov

If you have any questions on this application, RIDEM Office of Waste Management (OWM) Staff are available to assist you, please call the Office of Waste Management at (401) 222-2797 to speak to one of the following: Ashley Blauvelt, ext. 7026 / Gary Jablonski, ext. 7148 / Matthew DeStefano, ext. 7141.